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7 UNITED STATES DISTRICT COURT
8 WESTERN DISTRICT OF WASHINGTON
9 AT SEATTLE

10 SECURITIES AND EXCHANGE
11 COMMISSION,

12 Plaintiff,

13 v.

14 ANDY SHIN FONG CHEN, et al.,

15 Defendants.

CASE NO. C17-0405JLR

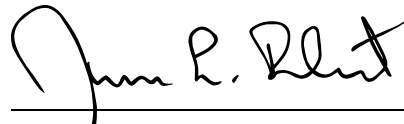
ORDER

16 Before the court is the parties' September 27, 2019, joint status report. (JSR (Dkt.
17 # 72).) The JSR explains that counsel for Plaintiff Securities and Exchange Commission
18 ("the SEC") "has received approval from [the SEC] for a requested penalty amount in
19 this matter." (*Id.* at 1.) The JSR also indicates that Defendants' counsel still needs
20 additional information about the immigration status of remaining investors and that
21 Defendants cannot yet determine whether they will "request a hearing for remedies
22 determination." (*Id.* at 1-2.) Despite these outstanding issues, the parties have agreed on

1 the following briefing schedule for the remedies phase of this proceeding: (1) the SEC's
2 motion and supporting papers will be due October 16, 2019; (2) Defendants' opposition
3 will be due on November 20, 2019; and (3) the SEC's reply will be due on December 4,
4 2019. (*Id.* at 2.) The parties also indicate that they intend to exchange additional
5 information before October 16, 2019, and "examine the possibility of stipulated
6 resolution." (*Id.*)

7 The court finds this proposal appropriate. Accordingly, the relief requested in
8 parties' JSR is GRANTED. (Dkt. # 72.)

9 Dated this 27th day of September, 2019.

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12 JAMES L. ROBART
13 United States District Judge
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